## SEC Form 4

# FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Instruction 1(b). Filed			Filed pursuan	t to Section 16(a) of the Securities Exchange Act of 19	34			esponse.	0.5
. ,				tion 30(h) of the Investment Company Act of 1940	-				
1. Name and Address of Reporting Person <sup>*</sup> <u>TAHL CINDY</u>				r Name <b>and</b> Ticker or Trading Symbol <u>THERAPEUTICS INC</u> [FATE ]		ationship of F k all applicab Director Officer (gi	le)	rson(s) to Issuer 10% Owne Other (spec	r
	C/O FATE THERAPEUTICS, INC.; 3535 GENERAL ATOMICS COURT #200 Street)		3. Date 02/25/	of Earliest Transaction (Month/Day/Year) 2019		below) General	Counsel a	below) nd Secretary	
(Street) SAN DIEGO			4. If Am	endment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	ividual or Joint/Group Fili Form filed by One Re Form filed by More tha		porting Person	
(City)	(State)	(Zip)				Person			

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	02/25/2019		<b>M</b> <sup>(1)</sup>		25,000	A	\$2.9	115,418	D	
Common Stock	02/25/2019		<b>S</b> <sup>(1)</sup>		25,000	D	\$16.0728	90,418	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$2.9	02/25/2019		<b>M</b> <sup>(1)</sup>			25,000	(2)	01/07/2026	Common Stock	25,000	(3)	98,149	D	

#### Explanation of Responses:

1. This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 20, 2018.

2. The shares subject to this option shall vest and become exercisable in 48 equal monthly installments beginning on February 8, 2016 such that this option is fully exercisable on January 8, 2020.

### 3. Not applicable.

**Remarks:** 



02/27/2019

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.