# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): July 15, 2019

# FATE THERAPEUTICS, INC.

(Exact name of registrant as specified in its charter)

 <b>Delaware</b> (State or other jurisdiction of incorporation)	001-36076 (Commission File Number)	65-1311552 (I.R.S. Employer Identification No.)
	35 General Atomics Court, Suite 200 San Diego, CA 92121 ress of principal executive offices, including zip co	
(Re	(858) 875-1800 gistrant's telephone number, including area code	e)
cck the appropriate box below if the Form 8-K filing is int visions:	ended to simultaneously satisfy the file	ing obligation of the registrant under any of the following
Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)		
Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)		
Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))		
Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))		
Securities	registered pursuant to Section 12(b) of	the Act:
Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock, \$.001 par value	FATE	Nasdaq Global Market
cate by check mark whether the registrant is an emerging Rule 12b-2 of the Securities Exchange Act of 1934 (§240. Emerging growth company		05 of the Securities Act of 1933 (§230.405 of this chapter)
n emerging growth company, indicate by check mark if th sed financial accounting standards provided pursuant to S		extended transition period for complying with any new or

# Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On July 15, 2019, the Board of Directors (the "Board") of Fate Therapeutics, Inc. (the "Company") appointed Dr. Shefali Agarwal to the Board as a Class III director. Dr. Agarwal was appointed to a newly created vacancy on the Board resulting from an increase in the size of the Board from eight (8) to nine (9) directors.

Dr. Agarwal is currently the Chief Medical Officer of Epizyme, Inc., a clinical-stage company developing novel epigenetic therapies for cancer and other serious diseases, where she leads the global clinical development and regulatory strategy for tazemetostat for the treatment of cancer. Prior to joining Epizyme as Chief Medical Officer in July 2018, Dr. Agarwal served as Chief Medical Officer at SQZ Biotech, where she built and led the clinical development organization, which included clinical research operations and regulatory functions. Dr. Agarwal has also held senior leadership positions at Curis, Inc., where she oversaw the Phase 2 study of its dual HDAC/PI3K inhibitor in diffuse large B-cell lymphoma, and at Tesaro, Inc., where she served as the clinical lead for the New Drug Application and the European Medicines Agency regulatory submissions and supported the commercial launch of ZEJULA® (niraparib) in ovarian cancer. She has also held positions of increasing responsibility at Covidien, AVEO Oncology and Pfizer, and led clinical research in the Department of Anesthesiology and Critical Care Medicine at Johns Hopkins University.

Upon her appointment to the Board, Dr. Agarwal was granted an option to purchase 24,000 shares of the Company's Common Stock at an exercise price equal to the closing price of the Company's common stock on the Nasdaq Global Market on July 15, 2019, which will vest in equal monthly installments during the 36 months thereafter, subject to Dr. Agarwal's continued service on the Board.

There are no arrangements or understandings between Dr. Agarwal, on the one hand, and any other persons, on the other hand, pursuant to which Dr. Agarwal was selected as a director. Dr. Agarwal is not a party to any transaction required to be disclosed pursuant to Item 404(a) of Regulation S-K. Dr. Agarwal has no family relationship with any director or executive officer of the Company. Dr. Agarwal has not been appointed to serve on any committee of the Board.

#### Item 7.01 Regulation FD Disclosures

On July 17, 2019, Fate issued a press release announcing Dr. Agarwal's appointment to the Board. A copy of the press release is being furnished as Exhibit 99.1 to this Current Report on Form 8-K.

The information in Item 7.01 of this Current Report on Form 8-K and Exhibit 99.1 attached hereto is being furnished and shall not be deemed "filed" for the purposes of Section 18 of the Securities Exchange Act of 1934, as amended ("Exchange Act") or otherwise subject to the liability of that section, nor shall such information be deemed incorporated by reference in any filing under the Exchange Act or the Securities Act of 1933, as amended, regardless of the general incorporation language of such filing, except as shall be expressly set forth by specific reference in such filing.

Item 9.01 Financial Statements and Exhibits

(d) Exhibits.

**Exhibit No.** Description

99.1 <u>Press release dated July 17, 2019</u>

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: July 17, 2019

## FATE THERAPEUTICS, INC.

By: /s/ J. Scott Wolchko

J. Scott Wolchko President and Chief Executive Officer



# Fate Therapeutics Announces the Appointment of Dr. Shefali Agarwal to its Board of Directors

San Diego, CA – July 17, 2019 – Fate Therapeutics, Inc. (NASDAQ: FATE), a clinical-stage biopharmaceutical company dedicated to the development of programmed cellular immunotherapies for cancer and immune disorders, today announced that Dr. Shefali Agarwal has been appointed to the Company's Board of Directors. Dr. Agarwal is currently the Chief Medical Officer of Epizyme, Inc., a clinical-stage company developing novel epigenetic therapies for cancer and other serious diseases, where she leads the global clinical development and regulatory strategy for tazemetostat for the treatment of cancer. She brings to the Company nearly two decades of clinical development and regulatory experience in oncology.

"Shefali is an accomplished leader with proven expertise in the late-stage development of innovative cancer therapies for the treatment of hematologic malignancies and solid tumors, and we are pleased to welcome her to our Board," said Scott Wolchko, President and Chief Executive Officer of Fate Therapeutics. "We look forward to benefiting from Shefali's breadth of clinical and regulatory experience at this exciting time for the Company as we advance our deep pipeline of iPSC-derived NK cell and T-cell product candidates for the treatment of cancer."

"I have watched with excitement as Fate Therapeutics successfully translated its unrivaled expertise in iPSC technology and became the first company to clinically make and use clonal master iPSC lines for the mass production of off-the-shelf cell products," said Dr. Agarwal. "I look forward to working closely with the Board and executive management team as the Company continues to lead in bringing cell-based cancer immunotherapies that can be delivered "on demand" and administered in multiple doses to cancer patients as a means of driving deep durable responses."

Over the span of her career as a trained physician with expertise in medical oncology, Dr. Agarwal has held leadership positions across clinical development, medical research, clinical operations, regulatory, and medical affairs. Prior to joining Epizyme as Chief Medical Officer in July 2018, Dr. Agarwal served as Chief Medical Officer at SQZ Biotech, where she built and led the clinical development organization, which included clinical research operations and regulatory functions. Dr. Agarwal has also held senior leadership positions at Curis, Inc., where she oversaw the Phase 2 study of its dual HDAC/PI3K inhibitor in diffuse large B-cell lymphoma, and at Tesaro, Inc., where she served as the clinical lead for the New Drug Application and the European Medicines Agency regulatory submissions and supported the commercial launch of ZEJULA® (niraparib) in ovarian cancer. She has also held positions of increasing responsibility at Covidien, AVEO Oncology and Pfizer, and led clinical research in the Department of Anesthesiology and Critical Care Medicine at Johns Hopkins University.

Dr. Agarwal received her medical degree from Karnataka University's Mahadevappa Rampure Medical School in India. Additionally, Dr. Agarwal earned a master's of public health from Johns Hopkins University and a master's of science in business from the University of Baltimore's Merrick School of Business.

#### **About Fate Therapeutics' iPSC Product Platform**

The Company's proprietary induced pluripotent stem cell (iPSC) product platform enables mass production of off-the-shelf, engineered, homogeneous cell products that can be administered in repeat doses to mediate more effective pharmacologic activity, including in combination with cycles of other cancer treatments. Human iPSCs possess the unique dual properties of unlimited self-renewal and differentiation potential into all cell types of the body. The Company's first-of-kind approach involves engineering human iPSCs in a one-time genetic modification event and selecting a single iPSC for maintenance as a clonal master iPSC line. Analogous to master cell lines used to manufacture biopharmaceutical drug products such as monoclonal antibodies, clonal master iPSC lines are a renewable source for manufacturing cell therapy products which are well-defined and uniform in composition, can be mass produced at significant scale in a cost-effective manner, and can be delivered off-the-shelf to treat many patients. As a result, the Company's platform is uniquely capable of overcoming numerous limitations associated with the production of cell therapies using patient- or donor-sourced cells, which is logistically complex and expensive and is fraught with batch-to-batch and cell-to-cell variability that can affect safety and efficacy. Fate Therapeutics' iPSC product platform is supported by an intellectual property portfolio of over 100 issued patents and 100 pending patent applications.

#### **About Fate Therapeutics, Inc.**

Fate Therapeutics is a clinical-stage biopharmaceutical company dedicated to the development of first-in-class cellular immunotherapies for cancer and immune disorders. The Company is pioneering the development of universal, off-the-shelf cell products using its proprietary induced pluripotent stem cell (iPSC) product platform. The Company's immuno-oncology pipeline is comprised of NK cell and T-cell cancer immunotherapies, with a focus on developing universal, off-the-shelf cell products intended to synergize with checkpoint inhibitor and monoclonal antibody therapies and to target tumor-associated antigens. The Company's first iPSC-derived NK cell product candidates include FT500, which is currently being clinically investigated for the treatment of advanced solid tumors, and FT516, for which the Company is preparing to initiate clinical investigation for the treatment of certain hematologic malignancies. The Company's immuno-regulatory pipeline includes ProTmune<sup>TM</sup>, a pharmacologically modulated donor cell graft that is currently being evaluated in a Phase 2 clinical trial for the prevention of graft-versus-host disease, and a myeloid-derived suppressor cell immunotherapy for promoting immune tolerance in patients with immune disorders. Fate Therapeutics is headquartered in San Diego, CA. For more information, please visit www.fatetherapeutics.com.

#### **Forward-Looking Statements**

This release contains "forward-looking statements" within the meaning of the Private Securities Litigation Reform Act of 1995 including statements regarding the Company's advancement of and plans

related to its product candidates, and the therapeutic and market potential of the Company's product candidates. These and any other forward-looking statements in this release are based on management's current expectations of future events and are subject to a number of risks and uncertainties that could cause actual results to differ materially and adversely from those set forth in or implied by such forward-looking statements. These risks and uncertainties include, but are not limited to, the risk that the Company may cease or delay preclinical or clinical development of any of its product candidates for a variety of reasons (including requirements that may be imposed by regulatory authorities on the initiation or conduct of clinical trials or to support regulatory approval, difficulties or delays in subject enrollment in current and planned clinical trials, difficulties in manufacturing or supplying the Company's product candidates for clinical testing, and any adverse events or other negative results that may be observed during preclinical or clinical development). For a discussion of other risks and uncertainties, and other important factors, any of which could cause the Company's actual results to differ from those contained in the forward-looking statements, see the risks and uncertainties detailed in the Company's periodic filings with the Securities and Exchange Commission, including but not limited to the Company's most recently filed periodic report, and from time to time in the Company's press releases and other investor communications. Fate Therapeutics is providing the information in this release as of this date and does not undertake any obligation to update any forward-looking statements contained in this release as a result of new information, future events or otherwise.

#### **Contact:**

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