SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] Lee Michael Stewart | 2. Date of Event Requiring Statem (Month/Day/Year) 07/31/2018 | ent 1 | 3. Issuer Name and Ticker or Trading Symbol <u>FATE THERAPEUTICS INC</u> [FATE] | | | | | |
|--|--|------------|--|---|--|--|---|--|
| (Last) (First) (Middle) C/O REDMILE GROUP, LLC ONE LETTERMAN DR., BLDG. D, SUITE | | | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | 5. If Amendment, Date of Original Filed (Month/Day/Year) | | | |
| D3-300 | | | Officer (give title below) | Other (specify below) | Appli | 6. Individual or Joint/Group Filing (Check Applicable Line) | | |
| (Street) SAN FRANCISCO CA 94129 | | | | | | | y One Reporting Person y More than One erson | |
| (City) (State) (Zip) | | | | | | | | |
| Table I - Non-Derivative Securities Beneficially Owned | | | | | | | | |
| 1. Title of Security (Instr. 4) | | | Amount of Securities eneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | | 4. Nature of Indirect Beneficial Ownership (Instr. 5) | | |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | |
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable ar Expiration Date (Month/Day/Year) | | 3. Title and Amount of Secur Underlying Derivative Securi | ity (Instr. 4) Conv | ersion ercise | 5. Ownership Form: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) | |
| | Date | Expiration | | Amount Deriv or Secu Number of | ative | Direct (D) or Indirect (I) (Instr. 5) | | |

Explanation of Responses:

No securities are beneficially owned.

/s/ Michael Lee

** Signature of Reporting Person

08/02/2018

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.